



Attorney's Docket No.: 09010-007006, 1634

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lam, et al.
Serial No. : 09/888,224
Filed : June 22, 2001
Title : ENDOGLUCANASES, NUCLEIC ACIDS ENCODING THEM AND METHODS
FOR MAKING AND USING THEM

Art Unit : 1634
Examiner : Ethan C. Whisenant

Commissioner for Patents
P.O. Box 2327
Arlington, VA 22202

INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.97 and §1.98

Sir:

Enclosed for filing in the above-referenced patent application is a Form PTO-1449, being submitted prior to the first Office Action on the merits. Applicants respectfully request consideration of the remarks and references set forth herein.

The following documents are enclosed herewith:

- Form PTO-1449 newly filed
- Postcard
- copies of cited references AFFF and AGGG

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

OCTOBER 1, 2002
Date of Deposit

Susan Senovick
Signature

SUSAN SENOVICK
Typed or Printed Name of Person Signing Certificate

RECEIVED

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TECH CENTER 1600/2900

REMARKS

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the newly cited references are enclosed. It is respectfully requested that the cited information be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

A copy of this statement is being submitted because Applicants wish the information to appear among the "references cited" on any patent to issue therefrom (MPEP §609). The references cited in AA through AEEE were submitted in the prior application and in accordance with 37 CFR §1.98(d), copies of these references are not included in this submission (MPEP §609A(2)); however, for the Examiner's convenience, if requested, Applicants will be pleased to provide a copy of any or all of the references cited therein. Newly cited references AFFF and AGGG are enclosed.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicants believe that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 06-1050. If necessary, please deduct any necessary additional fees from, or credit any overpayment to, the above-noted Deposit Account.

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If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 858 678 5070.

Respectfully submitted,

Date

Oct. 1, 2001 Gregory P. Einhorn
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